

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Iain FINDLETON et al.

Serial No. **10/714,398**

Filed **November 17, 2003**

Title: **METHOD FOR THE MANAGEMENT OF LOCAL CLIENT CACHE
BUFFERS IN A CLUSTERED COMPUTER ENVIRONMENT**

Group Art Unit:

Examiner: Not yet assigned

Agent of Record: James Anglehart Tel: Direct Dial: (514) 847-4244

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Commissioner for Patents
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Alexandria, VA 22313-1450
U.S.A.

INFORMATION DISCLOSURE STATEMENT
PRIOR TO FIRST OFFICE ACTION

Sir:

Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the foreign Patents and non-patent references listed on the attached PTO SB-08 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO SB-08 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,

Iain FINDLETON et al.

By:


James Anglehart, Reg. No. 38,796
Agent of Record

Enc.: Copy of WO 03/012578
2 sets of PTO SB-08 Forms



Approved for use through 10/31/2002 OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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Attorney Docket Number

16764-1US JA/AC

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code ² (If known)			
		US-5864837	01-26-1999	Maimone	
		US-20020055966	05-09-2002	Border	
		US-6389420	05-14-2002	Vahalia et al.	
		US-5151989	09-29-1992	Johnson	
		US-20030069902	04-10-2003	Narang et al.	
		US-20020194206	12-19-2002	Ganesh	
		US-6321236	11-20-2001	Zollinger et al.	
		US-5987506	11-16-1999	Carter et al.	
		US-4897781	01-30-1990	Chang et al.	
		US-6611895	08-26-2003	Krull	
		US-6173378	01-09-2001	Rozario et al.	
		US-6161169	12-12-2000	Cheng	
		US-20020188821	12-12-2002	Wiens	
		US-6182196	01-30-2001	DeRoo	
		US-6237067	05-22-2001	Eberhard	
		US-5657472	08-12-1997	Van Loo et al.	
		US-5630096	05-13-1997	Zuravleff	
		US-5123104	06-16-1992	Levine	
		US-20030093630	05-15-2003	Richard	
		US-6449700	09-10-2002	Hagerstern	
		US-6154816	11-28-2000	Steely	
		US-5893160	04-06-1999	Loewenstein	
		US-5860159	01-12-1999	Hagerstern	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant Of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	1 ^a
		Country Code3 – Number4 – Kind Code5 (if known)				
		WO03012578	02-13-2003	Lev et al.		
Examiner Signature				Date Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

[SOR.PAT.FORM 107 – 10/2001]

Substitute for form 1449A and B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	10/714,398
				Filing Date	November 17, 2003
				First Named Inventor	Iain FINDLETON et al.
				Art Unit	(unknown)
				Examiner Name	(unknown)
Sheet	2	of	2	Attorney Docket Number	16764-1US JA/AC

[illegible]

Examiner Signature		Date Considered	
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.